

1 **Microbiome and Cognitive Impairment: Can Any Diets Influence** 2 **Learning Processes in a Positive Way?**

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19 20 **Abstract**

21 The aim of this review is to summarize the effect of human intestinal microbiome on
22 cognitive impairments and to focus primarily on the impact of diet and eating habits on
23 learning processes. Better understanding of the microbiome could revolutionize the
24 possibilities of therapy for many diseases. The authors performed a literature review of
25 available studies on the research topic describing influence of human microbiome and diet on
26 cognitive impairment or learning processes found in the world's acknowledged databases
27 Web of Science, PubMed, Springer, and Scopus. The digestive tube is populated by billions
28 of living microorganisms including viruses, bacteria, protozoa, helminths, and microscopic
29 fungi. In adulthood, under physiological conditions, the intestinal microbiome appears to be
30 relatively steady. However, it is not true that it would not be influenced, both in the positive
31 sense of the word and in the negative one. The basic pillars that maintain a steady microbiome
32 are genetics, lifestyle, diet and eating habits, geography, and age. It is reported that the
33 gastrointestinal tract and the brain communicate with each other through several pathways
34 and one can speak about gut-brain axis. New evidence is published every year about the
35 association of intestinal dysbiosis and neurological/psychiatric diseases. On the other hand,
36 specific diets and eating habits can have positive effect on a balanced microbiota composition
37 and thus contribute to the enhancement of cognitive functions, which are important for any
38 learning process.

39
40 **Keywords:** microbiome, diet, cognition, learning, SCFA, antibiotics, neurological disorders

41 42 **Introduction**

43 The intestinal microbiome is a diverse community of intestinal microorganisms. For a long
44 time it seemed unlikely that a microbiome could also be responsible for processes outside the
45 digestive tract. However, it has been shown that the composition of intestinal microbiome
46 affects the entire spectrum of physiological processes or the development of the immune
47 system. The optimal microbiome composition thus contributes to overall health (Al-Asmakh,
48 2015; McKenney, 2015). An increasing number of research studies demonstrates the
49 enormous importance of the "gut-brain" axis and suggest that triggers for a variety of

50 neurological diseases can be found in the gastrointestinal tract (Bauer, 2016; Dinan, 2017;
51 Fasano, 2012; Hsiao et al., 2013; Schroeder, 2016; Turnbaugh et al., 2009).

52

53 The microbiome consists of milliard living microorganisms and as such, it has 100 times
54 more genes than the human genome. The microbiome evolves with its human host in a
55 symbiotic relationship. The development of the microbiome as a finely tuned ecosystem
56 depends on a number of factors. The most important are: type of childbirth delivery, eating
57 habits (diet, lifestyle) (Daniel et al., 2014; David et al., 2014; Bruce-Keller et al., 2015;
58 Magnusson et al., 2015), it is influenced by infection, stress, genetic predisposition, or
59 person's age (David et al., 2014; Yatsunenکو et al., 2012).

60

61 The aim of this review is to summarize the effect of human intestinal microbiome on
62 cognitive impairments and to focus primarily on the impact of diet and eating habits on
63 learning processes. Better understanding of the microbiome could revolutionize the
64 possibilities of therapy for many diseases.

65

66 **Methods**

67 The authors performed a literature review of available human and in one part also animal
68 studies on the research topic describing influence of human microbiome and diet on cognitive
69 impairment or learning processes. The research studies were selected on the basis of research
70 topics such as microbiome, neurological disorders, cognitive impairment, dementia, diet,
71 learning processes found in the world's acknowledged databases Web of Science, PubMed,
72 Springer, and Scopus. The end of the search period is limited by March 2019. Altogether 531
73 were identified in all these databases. After removing duplicates and titles/abstracts unrelated
74 to the research topic, 178 English-written studies remained. Of these, only 51 articles were
75 relevant for the research topic. These research studies were classified according to their
76 relevancy. The information found in the selected studies on microbiome and cognitive
77 impairment was carefully evaluated and it is described and discussed in the following
78 sections.

79

80 **Microbiome**

81 The digestive tube is populated by billions of living microorganisms in varying degrees of
82 intensity (Blekhman et al., 2015; Bohorquez, 2015; Koppel, 2016). Most of them are found in
83 our colon (up to 10^{14}), which is considered an extremely complex ecosystem including
84 viruses, bacteria, protozoa, helminths, and microscopic fungi (Bäckhed et al., 2005). In this
85 review, only the human intestinal bacterial microbiome is discussed (Ley et al., 2006; Qin et
86 al., 2012).

87

88 The density of the microbiome in the digestive tract varies by location (at least in the
89 stomach, most in the colon). Based on rRNA sequencing, 52 bacterial strains are currently
90 defined, 7 of which colonize the human intestinal tract. Interestingly, of these 7 strains, 97%
91 of the microbiome consists of only 4 strains - Firmicutes, Actinobacteria, Bacteroidetes,
92 Proteobacteria (Tsai et al., 2019).

93

94 It is believed that the fetus in the uterus is free of bacteria, and only when it is born, it is
95 inhabited by various strains of bacteria and viruses. However, according to available data, it is
96 highly dependent on the way in which childbirth is conducted. If the delivery is through
97 natural pathways, the main component of the neonatal intestinal microbiome is the bacteria
98 corresponding to the mother's vaginal microbioma, i.e., the *Lactobacillus* and *Prevotella*
99 strains. While if the labor is performed by the so-called caesarean section, the intestine is

100 preferably colonized by the bacteria of the genera *Staphylococcus* and *Corynebacterium*. In
101 addition, it was found that children born by Caesarean section are at greater risk of developing
102 autoimmune diseases (Bäckhed et al., 2005). Intestinal microbiome is well adapted to external
103 influences during the first three years of development and is also prone to undesirable changes
104 that may be caused by diet or antibiotic use (David et al., 2014; Yatsunenکو et al., 2012).
105 Research studies states that it is this period of life that is most important to form a healthy
106 intestinal microbiome (Yang et al., 2016). In animal models, antibiotic administration or
107 drastic changes in dietary habits have been shown to lead to predisposition to behavioral
108 disorders, depression, and anxiety at a later age.

109
110 In adulthood, under physiological conditions, the intestinal microbiome can be said to be
111 relatively steady in terms of both quantity and diversity. However, it is not true that it would
112 not be influenced, both in the positive sense of the word and in the negative one. The basic
113 pillars that maintain a steady microbiome of the individual are: genetics, lifestyle, diet and
114 eating habits, geography, and age (David et al., 2014; de La Serre et al., 2010; Yatsunenکو et
115 al., 2012; Noble et al., 2014). Reduced diversity or insufficient microbiome is associated with
116 many diseases (Lloyd-Price et al., 2016; Lozupone et al., 2012; Oh et al., 2016).

117 118 **Microbiome-gut-brain axis**

119 The term "gut-brain axis" generally appears in the literature, which includes afferent and
120 efferent neural connections, endocrine, immune and metabolic signals. This means that the
121 gut not only receives regulatory signals from the central nervous system (CNS), but it is also
122 able to send signals to the brain, and the brain receives them. Based on the information
123 published so far, it is very likely that this concept can be extended to the "microbiome-gut-
124 brain" axis, as even the intestinal microbiome can affect the brain (Bercik et al., 2011; Davari
125 et al., 2013; Hsiao et al., 2013; Bruce-Keller et al., 2015). Both the peripheral nervous system
126 modulation and the CNS may be included among the intestine microbial abilities and their
127 metabolites, i.e., influencing the brain development and brain function. This two-way
128 communication system maintains normal organism homeostasis. Changes in microbiota
129 leading to dysregulation of the gastrointestinal system, central/ autonomic nervous system, or
130 immune system may be one of the causes of various diseases (Bauer, 2016; Dinan, 2017;
131 Fasano, 2012; Hsiao et al., 2013; Schroeder, 2016; Turnbaugh et al., 2009). The brain and
132 intestine are connected by several physiological pathways, including neuronal, endocrine,
133 immune and metabolic pathway.

134
135 *Neuronal pathway:* GIT regulation takes place at four levels. At the local level, via the enteric
136 nervous system (ENS) containing mainly sensory and motor neurons; at the level of
137 prevertebral ganglia that pass information from the ENS to the CNS (Furness et al., 2014).
138 Another level is the CNS, which, after receiving signals from the periphery and evaluating
139 them, sends instructions to effector cells in the GIT. The last level is specific brain nuclei. The
140 vagus nerve is the direct nerve junction of the CNS and ENS. If bacterial products
141 (endotoxins, inflammatory cytokines, TNF- α) through the ENS stimulate the vagus nerve,
142 affecting the CNS, which is very important for learning and memory-related processes (Bravo
143 et al., 2011; Forsythe et al., 2014; Gareau et al., 2011; Mulak 2004; Sudo et al., 2004).

144
145 *Endocrine pathway:* enteroendocrine cells are dispersed in the intestinal epithelium. If
146 desired, these cells secrete hormones and other signal peptides. Secretion occurs in response
147 to various luminal stimuli. For example, bacterial by-products have the ability to stimulate
148 enteroendocrine cells. It has been found that if the composition of the intestinal microbiota
149 changes, there will be changes in neuropeptides and neurotransmitters. Possible neuroactive

150 molecules activated in the intestine include, for example, serotonin, GABA, catecholamines,
151 melatonin, acetylcholine, histamine, dopamine (Barrett et al., 2014). These molecules can
152 regulate inflammation, affect stress and anxiety reactions, emotions and mood, play a role in
153 learning and creating memory tracks (O'Mahony et al., 2015). A brief review of the function
154 of serotonin, according to the location of its receptors in the CNS/ENS, and in view of its
155 affectivity and cognition (Table 1).

156

157 *Immune pathway:* An important role of intestinal mucosa is to mediate adaptive immunity
158 because it gets into primary contact with a large number of specific antigens. These specific
159 antigens are PAMPs (pathogen-associated molecular pattern molecules). These are lipids,
160 lipopolysaccharides and lipoproteins, which are part of the bacterial wall. PAMPs are
161 recognized by pattern recognition receptors (PRRs). Activated PRRs provide increased
162 cytokine and interferon production through antigen presenting cells. PRRs include, for
163 example, transmembrane TLRs (Toll-like receptors). TLRs are localized in cells that are part
164 of innate immunity (macrophages, epithelial cells, adipocytes). However, we also find them in
165 cells of acquired immunity, (B-lymphocytes, T-lymphocytes or dendritic cells). TLRs-
166 mediated signaling results in induction of dendritic cells and subsequent cytokine production
167 (Caputi, 2018; Thomas et al., 2017).

168

169 *Metabolic pathway:* short-chain fatty acids (SCFAs), acetate, propionate, and butyrate are
170 among the substances that are produced by the gut microbiome and can affect CNS functions.
171 SCFAs have anti-inflammatory functions that induce due to binding to a specific G protein-
172 coupled receptor (MacFarlane 2011). In studies conducted in mice, butyrate was found to
173 regulate energy homeostasis, stimulate leptin production in adipocytes, and cause secretion of
174 several neuropeptides. Butyrate also has anti-inflammatory effects. SCFAs increase the
175 release of serotonin, i.e., they affect behavior and mood, as confirmed by in vitro studies.

176

177 **Microbiome and cognitive impairment**

178 New evidence is published every year about the association of intestinal microbiome,
179 dysbiosis and neurological/psychiatric diseases. The facts relate to both the risk of developing
180 the disease and its progression. Very often, neuro(auto)immune, neurodegenerative or
181 psychiatric diseases are mentioned, such as multiple sclerosis, neuromyelitis optica,
182 Parkinson's disease, Alzheimer's disease, amyotrophic lateral sclerosis, anxiety,
183 schizophrenia, autism. Cognitive impairment may occur to a greater or lesser extent with
184 these diseases. While the effect of dieting on cognitive function (see below) has been studied
185 for a long time, the effect of microbiome on cognition is still partially shrouded in mystery
186 (Bajaj et al., 2012; Desbonet et al., 2015; Dinan et al., 2015; Frohlich et al., 2016; Gareau et
187 al., 2011; Prashar, 2016).

188

189 Research studies have increasingly put emphasis on the influence of microorganisms on host
190 behavior and its cognitive function. Experiments on germ-free animal models show the
191 appearance of behavioral disorders and reduced cognitive functions. The first animal study
192 has shown that anxiety-like behavior can be achieved by modulating microbiome. Infection
193 with *Citrobacter rodentium* in combination with acute stress has resulted in memory failure in
194 mice. Interestingly, in control mice, this dysfunction was prevented by the prophylactic
195 administration of probiotics prior to the infection itself (Gareau et al. 2011; Liang et al.,
196 2015). There is evidence that donor animal-like behavior was demonstrated in the target
197 animal when transferring the fecal transplantation from the donor animal to the target animal
198 (Bercik et al., 2011).

199

200 For cognitive processes such as memory and learning, the proper functioning of the HPA axis
201 is absolutely necessary. It has been reported that microorganisms (specifically
202 *Bifidocaterium*) can modulate this axis - under stress, sterile mouse corticosteroid levels and
203 adrenal cortex hormones are much higher than those of conventional microbial mice. Other
204 agents very important for learning and memory processes are brain derived neurotrophic factor
205 (BDNF), NMDA-receptors (N-methyl-D-aspartate), and c-fos (Intlekofer et al., 2013;
206 Stefanko et al., 2009; Wu et al., 2008). These molecules are reduced in sterile mice. The
207 effect of serotonin on cognition and socialization is well known and therefore the effect of
208 kynurenine pathway metabolites is not so surprising. A well-studied example of the effect of
209 dysbiosis on cognitive function is liver encephalopathy (that can lead to dementia), which is
210 somewhat positively affected by oral antibiotic therapy (Ahluwalia et al., 2016; Bajaj et al.,
211 2014). On the contrary, there exist studies that have demonstrated that the administration of
212 antibiotics in mice causes cognitive impairments. Experiments were performed in mice
213 immediately after weaning and in adult animals. The reduction in the number of
214 microorganisms and their reduced diversity due to antibiotic therapy has always been
215 associated with a decrease in hippocampal BDNF expression. However, it is not known
216 whether the effects of antibiotics on cognitive function are only transient. It is clear from the
217 above how the intestinal microbiome is a fragile community of microorganisms (Fröhlich et
218 al., 2016).

219

220 A recently cross-sectional study conducted in Japan showed a comparison of the gut
221 microbiome between demented and non-demented patients and demonstrated two major
222 clusters of microbial taxa. The results were surprising: the number of *Bacteroides* (enterotype
223 I) was lower and the number of ‘other’ bacteria (enterotype III) was higher in demented than
224 non-demented patients. Multivariable analyses revealed that lower prevalence of *Bacteroides*
225 and a higher prevalence of ‘other’ bacteria were associated with higher odds ratios than the
226 traditional dementia biomarkers ApoE ϵ 4, SLI and high VSRAD score. (Saji et al., 2019)

227

228 Interesting facts are brought by research studies on the influence of the use of dairy probiotic
229 cultures among healthy volunteers. They have seen a change in activity in the brain regions
230 responsible for cognitive function, these areas being under serotonergic innervation. Thus, the
231 administration of serotonin and tryptophan precursor for modulating learning processes might
232 be significant (Tillisch et al., 2013).

233

234 In the context of inappropriate eating habits, excess energy intake and lifestyle without
235 enough physical activity, one can talk about the obesity epidemic in the western world. The
236 findings of the research studies have shown that obesity and intestinal dysbiosis go hand in
237 hand. In addition, in these studies, it was suggested that the intestinal microbiotic composition
238 also manifested itself in results of cognitive and other tests. Persons with a higher incidence of
239 actinobacteria (non-obese) were found to have better results at the speed of movement, better
240 attention, and better cognition scores. Mechanistic pathway is not yet studied, however, there is
241 a link between diet, microbiome, inflammation and resulting cognitive dysfunction in obese
242 patients (Camer et al., 2015; Herculano et al., 2013; Pistell et al., 2010; Puigh et al., 2012;
243 Tillisch et al., 2013).

244

245 **Diets and cognitive dysfunction**

246 Research studies show that diet and eating habits have both long-term and short-term effects
247 on people’s cognitive functions (Mahoney et al., 2005; Prado et al., 2012). Diet and proper
248 eating habits influence person’s cognition already in prenatal age since diet plays a key role in
249 the maturation of vital organs and the establishment of neuronal connections (Moody et al.,

250 2017). Research indicates that diseases (e.g., Alzheimer's disease or autism) connected with
251 cognitive impairments and learning disabilities have their etiology in an early life (Moody et
252 al., 2017). Thus, maternal diet can have a long-term effect on child's cognitive development.
253 Particularly two extremes are inappropriate; malnutrition or food deficit and excessive intake
254 of saturated fat and refined carbohydrates (Mahoney et al., 2005; Prado et al., 2012).

255
256 Malnutrition is typical of the so-called developing countries such as India, where researchers
257 discovered among 8-year-old children suffering from chronic malnutrition that 19% of them
258 find it difficult to read simple sentences like "I like dogs" or "The sun is hot", 12.5% make a
259 mistake when they are asked to write a simple sentence and 7% make mistakes while
260 responding to simple mathematics such as eight minus three (Angre, 2013). In addition, Wang
261 et al. (2005) in their study among 1,366 Chinese adults born between 1950 and 1964 found
262 out that malnutrition is associated with overall and specific cognitive decline, affecting
263 selective attention and response inhibition particularly. Nevertheless, food deficiency is also
264 connected with socio-economic status even in affluent countries where children from
265 deprived backgrounds receive food of low quality, with fewer micronutrients (e.g. iron or
266 iodine), fewer calories, but with higher fat (Darmon et al., 2008). As Ross et al. (2010) state
267 food consumption is vital to the brain to make the right amount of amino acids and choline –
268 important precursor molecules to make the brain function normally. Furthermore, researchers
269 emphasize the importance of habitual breakfast for school children since such breakfast has a
270 positive effect on pupils' academic performance, mainly on task-performance behavior in the
271 classroom (Adolfus et al., 2013).

272
273 On the contrary, the excessive intake of saturated fat and refined carbohydrates is typical of
274 western diet (Reichelt et al., 2017), which also has a negative impact on cognitive functioning
275 since high fat and sugar change intestine bacteria colonies and increase intestinal permeability
276 and lower blood brain barrier. This develops a vulnerability to the influx of toxins from
277 circulation to the brain, which results in cognitive dysfunction (Noble et al. 2017).

278
279 Research reveals that diets, especially therapeutic for some pathological conditions such as
280 irritable bowel syndrome or neurological disorders, are also effective in enhancing cognitive
281 functions (Lichtwark et al., 2014; Reddel et al., 2019). This concerns mainly specific dietary
282 regimens such as low-fermentable, oligo-, di-, mono-saccharides and polyols (low-
283 FODMAPs) and gluten-free (GFD). They seem to be useful for recovery and maintenance of
284 a eubiotic gut microbiota, particularly if supported with probiotics (Reddel et al., 2019).

285
286 **Discussion and conclusion**
287 Neurological diseases are a global problem and the professional public expects them to grow.
288 The rapid lifestyle, the enormous consumption of antibiotics and other eradicating microbial
289 processes that have so far coexisted with humans for millennia, can be summarized as
290 westernization. Westernization is a huge challenge for medical research. The changing
291 intestinal microbiome, its association with neurological diseases, and the association with
292 prolonged life expectancy are beginning to play a significant role for society.

293
294 A number of animal model studies have been reported to indicate that changes in the
295 intestinal microbiota can be linked to gut-brain axis effect and influencing nutrient absorption,
296 energy distribution or immunity. The opposite situation is with experiments on humans. There
297 is an insufficient number of adequately designed controlled trials leading to a difficult
298 assessment if the microbiome composition can influence cognition and learning processes.
299 Considering the results from animal studies, one can reasonably believe that microbiome

300 could affect gut-brain axis and influence cognition in humans. This means that positive
301 qualitative and quantitative changes in the human gut microbiota might interfere with the
302 onset and development of cognitive impairment and improve learning processes.

303
304 More and more studies are focused on TLR receptors and their association with neurological
305 disorders and the microbiome-gut-brain axis. Increasing evidence attributes positive effects to
306 probiotic restoration of disrupted intestinal barriers, stimulation of the human mucosal
307 immune system, and prevention of growth of pathogenic microorganisms. TLR ligands
308 derived from probiotics appear to have a positive effect on inflammatory production of anti-
309 inflammatory cytokines (Caputi, 2018; Thomas et al., 2017).

310
311 On the basis of published studies, the use of probiotics can be particularly recommended to
312 support cognitive functions. Most work has been done on animals, but the portability of
313 results to humans seems realistic. *B. longum*, *B. breve*, *B. infantis*, *L. helveticus*, *L.*
314 *rhamnosus*, *L. plantarum*, and *L. casei* were most effective at improving CNS function
315 (anxiety, depressive, affective, stress, memory) (Tsai et al., 2019; Wang et al., 2016).

316
317 Tryptophan resp. the serotonin system plays an irreplaceable role in the gut-brain axis. Given
318 the diversity of serotonin functions throughout the human body, it does not seem realistic yet
319 to treat individual diseases caused by the serotonin deregulation. It is both a heterogeneity of
320 the disease and a low level of exploration of the area. Because preclinical data strongly favor
321 this neuromediator, the connection of microbiome-gut-serotonin-CNS to researchers seems to
322 be a major challenge, but studies are now in the process of adding that systems such as GABA
323 are completely unexplored and open to cognition (O'Mahony et al., 2015).

324
325 Moreover, specific diets (low-FODMAPs and GFD) and eating habits can have positive effect
326 on a balanced microbiota composition and thus contribute to the enhancement of cognitive
327 functions, important for any learning process.

328
329 Probably the biggest controversy can bring contradictions in the results of individual studies.
330 Some articles describe sensational discoveries, others exploring virtually the same, finding
331 changes barely statistically detectable. It is not uncommon to find contradictory results. The
332 reasons may be different. Cognition disorders and learning difficulties are often multi-
333 etiological and the same cognitive impairment in one patient may be caused by other causes,
334 whether genetic, metabolic, or immunological, to the other individual. Another fact is that
335 cognition disorders can be masked, for example, by training to improve test results; cognition
336 and learning can also disrupt mood disorder already altered by possible psychotherapy or life
337 circumstances. False negative results can be caused by inappropriate selection of control
338 objects (for example, when examining microbiomes of family members as controls). Other
339 patient groups (e.g. due to possible microbiological contamination in the hospital
340 environment) may also be an inappropriate control. The choice of the subjects themselves
341 should also be carried out wisely, especially in the case of small numbers. Some of the
342 microbiome sequencing studies operate with a small number of subjects, and in addition, from
343 the same geographical area. Therefore, it cannot be ruled out that in other areas, countries,
344 cultures with other dietary and hygiene habits, dysbiosis at the microbiome level may be
345 manifested differently. For a complete list of problems in understanding the analysis, it is
346 worth noting that stool samples, which most studies explore, do not have to show the real
347 representation of species in the gut. For example, there are mucinophilic bacteria, mutualists,
348 and possibly pathogens, who are only minimally involved in excretion and thus invasive
349 methods are needed to investigate them.

350

351 In conclusion, the authors are convinced that by changing the lifestyle, including changes in
352 dietary habits, can positively affect the cognitive brain functions and learning-related
353 processes, especially by supporting the physiological mechanisms discussed in this mini-
354 review. In addition, recent research (Toman et al., 2018) shows that other interventions such
355 as physical activities or cognitive training are also an integral part of cognitive processes.

356

357 **Author Contributions**

358 Michal Novotny, Blanka Klimova, and Martin Valis equally contributed to the drafting,
359 analyses and final version of the whole manuscript. All authors read and approved the final
360 manuscript.

361

362 **Conflict of Interest Statement**

363 The authors declare that the research was conducted in the absence of any commercial or
364 financial relationships that could be construed as a potential conflict of interest.

365

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375

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584 **Table 1.** Serotonin receptors subtypes through gut-brain axis (adjusted according to
 585 O'Mahony et al., 2015)
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Subtype	CNS function	ENS function
5-HT1A	mood, cognition, pain, sleep, neuroendocrine function	release of mediators, degranulation of enteric mast cells
5-HT2A	sleep, hallucinations	contraction of gut smooth muscle
5-HT2B	hyperphagia, grooming behaviour	contraction of gut smooth muscle, visceral sensitivity
5-HT2C	mood, food intake	N/A
5-HT3	emesis, pain, release of other neurotransmitters	pain, secretory and motor responses
5-HT4	mood, cognition	contraction of smooth colonic muscle, prokinetic effect, neurotransmitter release
5-HT5A	mood, sensory perception, neuroendocrine functions	N/A
5-HT6	mood	N/A
5-HT7	mood, sleep	muscle relaxation

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